



Risk & Compliance Policy

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1. *Purpose*

This document defines the Company's Risk & Compliance Policy.

2. *Scope*

This policy covers the identification and management of Company associated risks and compliance requirements.

3. *Responsibilities*

It is the responsibility of the GTG Board and its Directors, to have read and understood this policy. The Board has overall responsibility for satisfying itself that the risks facing the Company have been identified and are being properly managed. It also retains overall responsibility for compliance with the law and with the standards it has set.

4. *References*

GTG shall comply with all applicable laws in executing this policy, including:

- Corporations Act 2001
- ASX Corporate Governance Guidelines
- ASX Listing Rules
- NASDAQ Marketplace Rules
- Sarbanes-Oxley Act of 2002

5. *Definitions*

Securities Fully paid ordinary shares in the capital of GTG and associated American Depositary Receipts (ADRs)

NASDAQ National Association of Securities Dealers Automated Quotation

ASX Australian Securities Exchange



6. Policy

The board's Annual Agenda provides for reviews of the risks and compliance requirements facing the Company.

The audit committee has been delegated the responsibility for reviewing management's recommendations on risk management and compliance policies, and for monitoring compliance with those policies. The Audit Committee is required by its Charter to review the recommendation on risk management and compliance policies and to report these to the Board.

Management has been delegated the primary responsibility for identifying, assessing and managing risks, for identifying legislation and regulations with which the Company is required to comply, and for formulating appropriate policies and procedures. Management is required to report to the Audit Committee on a regular basis.